

02-28-00

GAI-2773 \$ #12

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PTO/SB/29 (2/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE3-2-00  
B. Adliad

# **CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL**

CHECK BOX, if applicable:

☐ **DUPLICATE**

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))



Address to:

**Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231**

Attorney Docket No.	042390.P4500	TC
First Named Inventor	Jean M. Goldschmidt Iki	APR - 1 2000
Examiner Name	Chadwick A. Jackson	
Group/Art Unit	2773	
Express Mail Label No.	EL414970638US	

RECEIVED

This is request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d) (continued prosecution application (CPA)) of prior application number 08/939,185, filed on September 29, 1997, entitled GRAPHICAL USER INTERFACE WITH MULTIMEDIA IDENTIFIERS.

## **NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR § 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR § 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR § 1.53 (d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

02/29/2000 DUVONG 00000053 08939185

01 FC:131  
02 FC:102690.00 OP  
234.00 OP

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR § 1.16(c))	12 -20* =	0	x \$ 18.00 =	\$
	INDEPENDENT CLAIMS(37 CFR § 1.16(b))	6 -3** =	3	x \$ 78.00 =	234.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+\$ _____ =	
				BASIC FEE (37 CFR § 1.16)	690.00
	Total of above Calculations =				\$924.00
	Reduction by 50% for filing by small entity (Note 37 CFR §§ 1.9, 1.27, 1.28).				
	TOTAL =				\$924.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed.  
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.  
c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02 2666 :

- a. ☒ Fees required under 37 CFR § 1.16.  
b. ☒ Fees required under 37 CFR § 1.17.  
c. ☒ Fees required under 37 CFR § 1.18.

8. ☒ A check in the amount of \$ 924.00 is enclosed.

9. ☒ Other: 1) Return Receipt Postcard; 2) Petition for Extension of Time; and 3) A check in the amount of \$870.00 for a three-month extension of time.

**NOTE:**

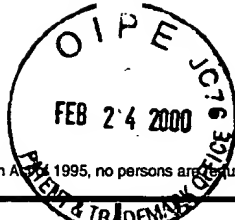
The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**10. NEW CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number of Bar Code Label <div style="border: 1px dashed black; width: 200px; height: 50px; margin: 10px auto;">           (Insert Customer No. or Attach bare code label here)         </div>						<input checked="" type="checkbox"/> Correspondence address below					
Name		Aloysius T.C. AuYeung, Reg. No. 35,432									
		BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP									
Address		12400 Wilshire Boulevard, Seventh Floor									
City		Los Angeles		State		California		Zip Code		90025	
Country		U.S.A.		Telephone		(503) 684-6200		Fax		(503) 684-3245	

**11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Aloysius T.C. AuYeung, Reg. No. 35,432
SIGNATURE	
DATE	February 24, 2000



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PTO/SB/17 (6/99)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 1,794.00

### Complete if Known

Application Number	08/939,185
Filing Date	September 29, 1997
First Named Inventor	Jean M. Goldschmidt Iki
Examiner Name	Chadwick A. Jackson
Group/Art Unit	2773
Attorney Docket No.	042390.P4500

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fees Required Under 37  
CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility filing fee	\$690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 690.00

#### 2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	12	20 = 0	18.00 = 0	
Independent Claims	6	3 = 3	78.00 = \$234.00	
Multiple Dependent				

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 234.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	380	216	190	Extension for response within second month
117	870	217	435	Extension for response within third month
118	1,210	218	680	Extension for response within fourth month
128	1,850	228	925	Extension for response within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,210	241	605	Petition to revive - unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 870.00

### SUBMITTED BY

Typed or Printed Name Aloysius T.C. AuYeung

Signature

Date

02/24/00

### Complete if applicable

Reg. Number 35,432

Deposit Account User ID

02-2666

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